



*International Civil Aviation Organization*

**Fourth Meeting of the Asia/Pacific Regional Search and Rescue Task Force  
(APSAR/TF/4)**

Bangkok, Thailand, 06 – 10 July 2015

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**Agenda Item 5: Asia/Pacific Regional SAR Plan**

**DRAFT ASIA/PACIFIC SAR PLAN**

(Presented by the Secretariat)

**SUMMARY**

This paper presents information on the development of an Asia/Pacific Search and Rescue (SAR) Plan, as required by the APSAR/TF Terms of Reference.

**1. INTRODUCTION**

1.1 According to the Terms of Reference (TOR), the APSAR/TF was expected to deliver a plan within two years of establishment for enhancement of SAR capability within the Asia/Pacific Region, including enhancement of SAR services with neighboring States. This required the submission of a plan outline to APANPIRG/25 (2014) to indicate progress, and the completed plan to APANPIRG/26 (2015).

1.2 In order to make recommendations for the improvement of SAR systems, the APSAR/TF was expected to:

- review the current status of SAR preparedness of Asia and Pacific Region States and State SAR arrangements;
- monitor outcomes from relevant forums for issues that may affect the Asia/Pacific Region;
- analyse SAR contingency procedures from other ICAO Regions; and
- identify areas where SAR planning and preparedness requires improvement in terms of compliance with Annex 12, the International Aeronautical and Maritime Search and Rescue Manual (IAMSAR) and accepted best practice.

Asia/Pacific Regional SAR Plan Process

1.3 APSAR/TF/2 discussed the ‘straw man’ – an outline of the basic document with headings and some starting text. Planning material germane to regional SAR services was moved from Part VII, Volume 1 of the Asia and Pacific Regions Air Navigation Plan (Basic Air Navigation Plan, Doc 9673) to relevant parts of the Asia/Pacific SAR Plan after review at APSAR/TF/2. APSAR/TF/3 deliberated on the plan with all its draft material in place, and took into account the trans-regional aspects such as with the African (AFI) areas that adjoined the Asia/Pacific.

1.4 APSAR/TF/4 was expected to complete discussions on the draft plan in preparation for presentation to the ATM Sub-Group and thence to APANPIRG/26.

## 2. DISCUSSION

### Asia/Pacific Regional Air Navigation Plan

2.1 The draft Asia/Pacific SAR Plan V0.9 is at **Attachment A**. APSAR/TF is expected to review the draft and its appendixes comprehensively before submission to the ATM/SG/3 meeting and thence APANPIRG/26. In accordance with the TOR, the following Draft Conclusion is provided for the APSAR/TF's consideration:

#### **Draft Conclusion APSAR/TF/4-X Asia/Pacific SAR Plan**

That, regarding the Asia/Pacific Search and Rescue (SAR) Plan Version 1.0 attached as **Appendix X to the Report**, ICAO be requested to:

- a) make the SAR Plan available on the ICAO Asia/Pacific Regional Office web site; and
- b) reference the SAR Plan within the Asia/Pacific Seamless ATM Plan;
- c) add the following elements to the Asia/Pacific Seamless ATM monitoring and reporting scheme:
  - SAR Regulatory and Coordination Mechanisms;
  - SAR Facilities and Assets;
  - SAR Information;
  - SAR Improvement; and
- d) conduct Asia/Pacific SAR Planning and Implementation Seminars/ Workshops for Asia/Pacific States.

#### **Draft Conclusion APSAR/TF/4-Y: State SAR Planning**

That, States should be urged to:

- a) review Version 1.0 of the Asia/Pacific SAR Plan and utilise the SAR Plan to develop planning for State implementation of applicable SAR elements;
- b) ensure relevant decision-makers are briefed on the SAR Plan;
- c) submit the first SAR Plan Seamless ATM monitoring information to the ICAO Regional Office by 01 March 2015; and
- d) where possible, participate and contribute to SAR Plan system collaborative training and research initiatives.

## 3. ACTION BY THE MEETING

3.1 The meeting is invited to:

- a) note the information contained in this paper;
- b) review and agree to the Draft Asia/Pacific Regional SAR Plan V1.0; and
- c) discuss any relevant matters as appropriate.

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**INTERNATIONAL CIVIL AVIATION ORGANIZATION**

**DRAFT**



**ASIA/PACIFIC SAR SEARCH AND RESCUE (SAR) PLAN**

**DRAFT** Version 0.9, February June 2015

This Plan was developed by the Asia/Pacific Search and Rescue Task Force  
(APSAR/TF)

Approved by APANPIRG/26 and published by the  
ICAO Asia and Pacific Office, Bangkok

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## SCOPE OF THE PLAN

### Plan Structure

1.1 The Asia/Pacific Search and Rescue (SAR) Plan (hereinafter referred to as the 'Plan') references different levels. At the higher level are global requirements established by the ICAO Annex 12 to the ICAO Convention on International Civil Aviation (ICAO Doc 7300). Global guidance material is provided by the International Maritime Organization (IMO) and ICAO's joint publication, the International Aeronautical Maritime and Aeronautical SAR (IAMSAR) Manual. Beneath this is regional planning guidance primarily provided by this Plan and other regional guidance material, in order to enable States to define the goals and means of meeting objectives for State planning towards improving State SAR System capability, such as Regional Air Navigation Plan (RANP, ICAO Doc 9673) objectives.

1.2 The global air navigation perspective is guided mainly by the *Global Air Navigation Plan* (GANP, Doc 9750), the *Global ATM Operational Concept* (Doc 9854) and the *Global Aviation Safety Plan* (GASP).

1.3 The scope of the Plan is the identification of:

- the current status of SAR preparedness of Asia/Pacific Region States and State SAR arrangements; and
- relevant SAR arrangements from other ICAO regions, particularly those from States with Flight Information Regions (FIRs) or Search and Rescue Regions (SRRs) that adjoin Asia/Pacific FIRs/SRRs; and
- recommendations for SAR planning and preparedness enhancements, in terms of compliance with Annex 12, IAMSAR Manual guidance, and accepted best international practice.

1.4 References in the Plan to 'States' are intended to include Special Administrative Regions and territories.

### Plan Review

1.5 As an iterative process, the Plan requires regular updating to keep current with changes in ICAO Annexes and guidance material, the IAMSAR manual, regional aviation activity, developments in the ATM system, new technology, political considerations human performance and lessons learned from actual SAR responses. Plan updates should also focus on the SAR system being an important component of an integrated regional and global air navigation system. It is intended that APANPIRG and its contributory bodies conduct a complete review every three years from 2019 (or a shorter period determined by APANPIRG) of the Plan to align with the review cycle of the GANP and the IAMSAR Manual.

## OBJECTIVES

### Introduction

2.1 Asia/Pacific States who are signatories to the Chicago Convention accept the responsibility for the provision of SAR services per the requirements of Annex 12 Search and Rescue. Increases in both aviation and maritime traffic throughout the Asia/Pacific region places additional importance on the ability for States to be adequately prepared for potentially increased demand for aeronautical and maritime SAR services.

2.2 The world's citizens, who frequently fly over or sail through the Asia/Pacific, expect a timely and adequate SAR response to be provided should it be required. States in the region need to be adequately prepared for the provision of efficient and effective SAR services. To assist in achieving this, it is essential for States to cooperate, collaborate and in some cases assist with resources to neighbouring and sub-regional RCCs.

2.3 ICAO Regional Office maintains a record, as reported to ICAO by the States themselves, of the status of individual State SAR compliance against Annex 12 requirements. There are significant variations in the level of State SAR capability across the region with significant gaps requiring urgent action, especially in oceanic areas. A number of States have not reported their status at all to ICAO. The ICAO Universal Safety Oversight Audit Program – Continuous Monitoring Approach (USOAP-CMA) also provides a useful tool to States to self-assess their individual SAR system status.

2.4 There is a high risk of damaging negative consequences to a State which does not provide an adequate SAR response to an aircraft or vessel in distress. The primary concern is the higher probability for loss of lives which may have been saved. The ability for news to spread rapidly in today's technologically connected world also provides the opportunity for a poor or ineffective SAR response to quickly reach a global audience resulting in damage to that State's reputation internationally and potential economic loss to sensitive State industries such as tourism and transport. However, the benefits of an effective and reliable SAR service to States offers many advantages. Besides reduction of loss of life and human suffering, other advantages include the following aspects.

- a) Safer and more secure environment for aviation and maritime related industries, commerce, recreation and travel. Increased safety may promote use and enjoyment of aviation and maritime environments, tourism and economic development. This is especially true when the SAR system is associated with programmes aimed at preventing or reducing the effects of mishaps, sometimes referred to as "Preventative SAR."
- b) Availability of SAR resources often provides the initial response and relief capabilities critical to saving lives in the early stages of natural and man-made disasters. SAR services offer an integral part of local, national and regional emergency management systems.
- c) Well performed SAR operations can provide positive publicity about situations which may otherwise be viewed negatively. This can lead to improved public confidence in that State's reputation and commitment to providing a safe environment, leading to increased confidence to conduct activities beneficial to that State's economy.
- d) As SAR is a relatively non-controversial and humanitarian mission, it provides an excellent opportunity to enhance cooperation and communication in general between States and organisations, not only for SAR. It can also foster better working relationships between States and organisations at the local, national and international levels, including civil/military cooperation.

2.5 Considering that many of the Asia/Pacific States have the challenging responsibility for providing a SAR service over vast and remote oceanic areas, including three of the world's five oceans, the importance for States with oceanic SAR responsibility to cooperate, collaborate and share resources with their neighbouring and sub-regional RCCs is essential.

2.6 In 2014 after Malaysia Airlines flight MH370, a Boeing 777 with 239 persons on board, disappeared when flying from Kuala Lumpur, Malaysia to Beijing, China, and Air Asia QZ8501 was lost on a flight from Surabaya to Singapore. The MH370 event resulted in probably the largest and most expensive search response for a missing aircraft in human history. These tragedies and Together with Air France flight AF447, which crashed into the Atlantic Ocean in 2009, these tragedies have highlighted vulnerabilities in the current air navigation system, including the SAR system, which have hampered timely identification and localisation of aircraft in distress, hindering effective response efforts. ICAO is taking measures to assist with addressing these vulnerabilities through the Global Aeronautical Distress and Safety System (GADSS) Concept, however this also requires improvements in global SAR capability.

2.7 The Asia/Pacific SAR Plan is designed to address both civil and military SAR authorities and has been developed in consultation with Asia/Pacific States, SAR administrations and relevant International Organisations. States should consult with stakeholders nationally, regionally and internationally as appropriate and determine actions in order to commit to achieving the objectives of this SAR Plan in order to meet the minimum SAR service requirements of ICAO Annex 12. Where States are unable to meet the minimum SAR service requirements of ICAO Annex 12, this should be notified by the State concerned to ICAO so that and any differences under Article 38 to the Convention regarding Annex 12 Standards and Recommended Practices (SARPs) are recorded.

2.8 States should aim to meet their obligations progressively in a strategically structured and planned manner with improvement goals set for short term, medium term and long term implementation. It may be more productive to make gains in small steps commencing with measures that are more easily achievable in the short term and have a minimal cost, progressing to measures which will take longer to implement over the medium to long term. Short term measures that may be implemented relatively easily include the establishment of a national SAR Committee and ensuring SAR Agreements are in place with neighbouring States allowing for seamless cross-border transit of search assets engaged in SAR activity. A SAR agreement can be in the form of 'Letter of Agreement' (LOA) or a Memorandum of Understanding or other acceptable term indicating a lower form of arrangement for operational matters between ATS units or a more formal agreement for arrangements between national governments.

2.9 All States are encouraged to use the guidance provided within this plan as a way forward, thus ensuring a timely, well-coordinated response to any SAR incident within their area of responsibility, or during cooperative responses involving more than one Search and Rescue Region (SRR).

#### Plan Objective

2.10 The objective of this SAR Plan is to provide a framework to assist Asia/Pacific States to meet their SAR needs and obligations accepted under the Convention on International Civil Aviation and for the harmonised and interoperable delivery of both aeronautical and maritime SAR services within the region, and across other ICAO regional boundaries, where practicable.

2.11 The Plan is to be consistent with the SARPs of ICAO Annex 12 Search and Rescue, and aligned where appropriate with the SAR technical and operational measures and recommendations standards and guidance of the IMO.

2.12 The Plan recognizes that ICAO serves as the forum for the implementation of practical and achievable measures to improve SAR services for the international civil air transportation system.

The Plan also recognizes that the IMO provides a similar forum for SAR services to the civil maritime transportation system.

2.13 Both ICAO and IMO share the same goal of ensuring that SAR services are available globally wherever people sail or fly. The SAR services that ICAO and IMO promote are complementary and offer tangible opportunities to derive mutually beneficial efficiencies for both the aviation and maritime transportation SAR systems globally, regionally and nationally. The objective of this Plan includes encouraging States to take advantage of such efficiencies. States should, where practicable, align their SAR systems with the guidance provided within the ICAO/IMO International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual which also provides the benefit for standardised SAR coordination between RCCs and across SRR lines of boundaries delineation.

2.14 State SAR plans describe how SAR services will be provided, organized and supported in order for States to meet their obligations under the relevant Conventions. Search and Rescue Coordinators (SC) and SAR Managers oversee and implement these plans. SAR plans should be signed by all Government agencies which can provide or support SAR services. These agencies should all be represented on the State's Search and Rescue Coordinating Committee (SCC), which oversees these plans.

*Note: The SC should not be confused with the operational nature of the SAR Mission Coordinator (SARMC). The primary purpose of the national SC is to enable a whole-of-government approach to make efficient and effective use of a State's capabilities for SAR.*

#### Plan Development

~~2.15 The Asia/Pacific SAR Plan was developed by the ICAO Asia/Pacific SAR Task Force (AP SAR/TF) consistent with the APANPIRG Terms of Reference which are:~~

- ~~a) to ensure continuous and coherent development of the Asia/Pacific Regional Air Navigation Plan and other relevant regional documentation in a manner that is harmonized with adjacent regions, consistent with ICAO SARPs and Global Air Navigation Plan (Doc 9750) and reflecting global requirements;~~
- ~~b) to facilitate the implementation of air navigation systems and services as identified in the Asia/Pacific Regional Air Navigation Plan with due observance to the primacy of air safety, regularity and efficiency; and~~
- ~~c) to identify and address specific deficiencies in the air navigation field. This paragraph is superfluous (the next paragraph numbers will ultimately change when it is deleted).~~

2.16 The Plan was developed as part of a suite of Asia/Pacific air navigation plans, including the Seamless ATM Plan, the Air Traffic Flow Management (ATFM) Framework, and the Regional ATM Contingency Plan, so the Plan should not be considered in isolation.

2.17 The Plan is expected to provide guidelines and recommendations for Asia/Pacific States to consider for the enhancement and improvement of national, sub-regional and regional SAR capability including:

- a) compliance with Annex 12 SARPs;
- b) identification and addressing of deficiencies in SAR capability;
- c) continuous and coherent development of SAR capability;
- d) harmonisation of aeronautical and maritime SAR services;
- e) civil/military cooperation and coordination (including SAR response, information sharing and use of airspace);



- f) remote oceanic SAR response capability (including provision for Mass Rescue Operations (MRO));
- g) establishment and review of arrangements between neighbouring States to expeditiously facilitate SAR coordination, operations and cooperation across regional boundaries including contingency procedures;
- h) facilitation of the implementation of SAR systems and services including the establishment of JRCCs where suitable and practicable;
- i) supporting the sharing of SAR information, data and expertise;
- j) integration with ATM systems and future ATS developments, where appropriate;
- k) monitoring of outcomes from APANPIRG Sub-Groups, other ICAO Region SAR groups, ICAO/IMO Joint Working Group on Harmonisation of Aeronautical and Maritime SAR (JWG) and related forums for issues that may affect the Plan;
- l) facilitation of a continuous reporting mechanism of State SAR capability, Annex 12 compliance and SAR performance data to the APAC Regional Office through the APANPIRG Air Traffic Management Sub-Group (ATM/SG);
- m) implementation of a SAR System Improvement and Assessment measures, including Safety Management System, Quality Assurance program and Risk Assessment consistent with the expectations of Annex 19 *Safety Management*; note: this wording references Annex 19 even if it does not include SAR yet
- n) coordinating the introduction of new technology affecting the regional SAR system;
- o) sharing future research and development concepts;
- p) seeking efficiencies, through the coordination and facilitation of concurrent regional SAR meetings, seminars, workshops and exercises, including joint ICAO and IMO, and sub-regional forums where practicable; and
- q) conducting efficient Search and Rescue Exercises (SAREXs) that identify improvements and latent problems.

2.18 The Asia/Pacific Regional SAR Plan elements should be periodically reviewed by APANPIRG to ensure they remain relevant to the SAR system, particularly for new technology developments and alignment with other relevant global SAR plans.

## EXECUTIVE SUMMARY

3.1 ICAO reported the following statistics regarding global civil aviation in 2012-2014: that 2.9 billion people used scheduled air transport services in 2012, with the annualized passenger figure up 5 per cent since 2011, and is expected to reach over 6 billion by 2030 according to current projections. The number of flights should also double, from 30 million to 60 million a year.

- 3.3 billion passengers;
- 50 million tonnes of freight;
- over 1 000 scheduled airlines; and
- 26,700 aircraft in service.

3.2 The Asia/Pacific region was the world's largest air transport market in 2012-2014, with a 30-32 per cent share in terms of world Revenue Passenger Kilometres (RPKs).

3.3 Maritime traffic in the Asia/Pacific region is also increasing and whilst IMO sponsors the provision of maritime SAR services, the demand for aeronautical SAR services which frequently support responses to maritime SAR incidents is also likely to rise.

3.4 Asia/Pacific States who are signatories to the Chicago Convention accept the responsibility for the provision of SAR services per the requirements of Annex 12 Search and Rescue. Increases in both aviation and maritime traffic throughout the Asia/Pacific region places additional importance on the ability for States to be adequately prepared for potentially increased demand for aeronautical and maritime SAR services.

3.5 Considering that many of the Asia/Pacific States have the challenging responsibility for providing a SAR service over vast and remote areas, including three of the world's five oceans, the importance for States with oceanic SAR responsibility to cooperate, collaborate and share resources with their neighbouring and regional RCCs is essential.

3.6 High-level support might be necessary from regional bodies that can effectively support the Plan's implementation, such as the:

- Association of Southeast Asian Nations (ASEAN) and ASEAN Regional Forum (ARF);
- Asia Pacific Economic Cooperation (APEC);
- South Asian Association for Regional Cooperation (SAARC);
- Secretariat of the Pacific Community (SPC); and
- Indian Ocean Rim Association (IORA).

### SAR System Funding

3.7 The level of funding provided required for effective SAR systems is a matter of concern for all senior decision-makers. The resources should be sufficient to develop and/or maintain the required SAR service per their obligations as signatories to the relevant aeronautical and maritime SAR conventions. This may require the development of business cases to governments outlining where additional funding is required.

3.8 Such business cases should include consideration of amendments to existing State SAR arrangements which may provide more efficient delivery of the SAR service by better utilisation of existing funds (for example by establishing Joint RCCs (JRCCs), or additional funding sources where required (for example charging a levy to aircraft and shipping operators for providing the SAR service or seeking company sponsorship for SRUs).

Joint Rescue Coordination Centres (JRCCs)

3.9 Where practicable, States are encouraged to examine the potential benefits that may be derived by the establishment of Joint RCCs JRCCs to incorporate the aeronautical and maritime SAR activities and/or facilities of ARCCs/ARSCs and MRCC/MRSCs. JRCCs have the potential to not only provide a more effective SAR service to both the aeronautical and maritime industries, but also offer potential financial efficiencies by releasing funds for improvements in other SAR areas.

*Note: Where JRCCs are not practicable, development of facilities and procedures which provide and/or enhance effective SAR coordination and collaboration between the ARCCs and MRCCs in support of each other, to provide an efficient and integrated State SAR system for both aeronautical and maritime SAR incident response.*

3.10 Where practicable, the JRCC evaluation may consider consolidation of two or more different State RCCs into single sub-regional JRCCs, although this will probably require high level political support and could be an initiative from a regional body.

*Note: a single sub-regional JRCC may be established in partnership with a group of States and serve as a 24 hour nodal JRCC supported by Joint Rescue Sub-Centres (JRSCs) of the other partner States which may not necessarily need to be manned 24 hours but could be activated when required.*

Stakeholder Summary

3.11 This Plan addresses the full range of SAR stakeholders, including civil and military SAR authorities. The Plan has been developed in consultation with Asia/Pacific States, SAR administrations and relevant International Organizations (IO).

3.12 States should consult with stakeholders nationally, regionally and internationally as appropriate and determine actions in order to commit to achieving the objectives of this SAR Plan to facilitate meeting the minimum SAR service requirements of Annex 12. Where States are unable to meet the minimum SAR service requirements of Annex 12, these should be notified to ICAO as differences to the Annex 12 SARPs. This is superfluous

## ABBREVIATIONS AND ACRONYMS

ADS-B	Automatic Dependent Surveillance-Broadcast
ADS-C	Automatic Dependent Surveillance-Contract
AIS	Automatic Identification System [note: this may be deleted as it conflicts with Aeronautical Information Service]
ANSP	Air Navigation Service Provider
APANPIRG	Asia/Pacific Air Navigation Planning and Implementation Regional Group
ARCC	Aeronautical Rescue Coordination Centre
ARSC	Aeronautical Rescue Sub-Centre
ASPOCS	Administrative Single Point of Contact for SAR
ATC	Air Traffic Control
ATM	Air Traffic Management
CONOPS	Concept of Operations
COSPAS-SARSAT	Cosmicheskaya Sistema Poiska Avariynyh Sudov-Search and Rescue Satellite-Aided Tracking
GADSS	Global Aeronautical Distress and Safety System
GLONASS	GLObal NAVigation Satellite System
IAMSAR	International Aeronautical and Maritime SAR (Manual)
JRCC	Joint (aeronautical and maritime) Rescue Coordination Centre
JRSC	Joint Rescue Sub-Centre
JWG	ICAO/IMO Joint Working Group on the Harmonisation of Aeronautical and Maritime Search and Rescue
MRCC	Maritime Rescue Coordination Centre
MRSC	Maritime Rescue Sub-Centre
RCC	Rescue Coordination Centre
SAR	Search and Rescue
SARPs	Standards and Recommended Practices
SC	Search and Rescue Coordinator
SCC	Search and Rescue Coordinating Committee
SARMC/SMC	Search and Rescue Mission Coordinator
SOLAS	International Convention for the Safety of Life at Sea
SPOC	SAR Point of Contact
SRR	Search and Rescue Region
SRU	Search and Rescue Unit
UAS	Unmanned Aircraft Systems

4.1 XXX

**TO BE COMPLETED ON FINAL EDIT**

## BACKGROUND INFORMATION

### Improvement Drivers

5.1 The ICAO USOAP-CMA focuses on a State's capability in providing safety oversight by assessing whether the State has effectively and consistently implemented the critical elements of a safety oversight system and determining the State's level of implementation of ICAO's safety –related Standards and Recommended Practices (SARPs), including Annex 12 Search and Rescue, and associated procedures and guidance material.

5.2 ICAO APAC Regional Office maintains an Air Navigation Deficiencies List. This list is based on the uniform methodology for identification, assessment and reporting of such deficiencies as described in Part V of the APANPIRG Procedural Handbook. By identifying and addressing specific deficiencies, APANPIRG and its Sub-groups facilitate the development and implementation of action plans by States to resolve identified deficiencies, where necessary.

5.3 The ANS Deficiency information has been populated into the ICAO iSTARS (Integrated Safety Trend Analysis and Reporting System) database and is accessible through the ICAO Secure Portal. The intention is to merge this data with the ~~Continuous Monitoring Approach~~ CMA Data, and manage the deficiencies using a single web-based process.

5.4 States need to ensure they have national distress beacon disposal measures in place to avoid the unnecessary activation of the SAR system. Incorrect disposal of distress beacons often causes the deployment of scarce and often expensive SAR resources only to have the beacon located as a non-distress event in a rubbish dump or similar location. This also creates the risk of SAR resources being diverted away from a real emergency should it arise at the time. Beacon batteries are hazardous items which should be disposed of in an environmentally friendly manner.

### Asia/Pacific SAR System Monitoring

5.5 Significant Annex 12 compliance weaknesses have been identified within the Asia/Pacific region based upon information provided (and in many cases not provided) by States to the ICAO Regional Office. This regional information status of the SAR capability and SAR agreements is recorded in tables made available to APANPIRG, which is expected to be enhanced with the integration of SAR elements into the Seamless ATM on-line monitoring system.

### Recent ICAO SAR Initiatives

5.6 The tragedies of Malaysia Airlines flight MH370 in 2014 and Air France flight AF447 in 2009 have highlighted vulnerabilities in the current air navigation system which has hampered timely identification and location of aircraft in distress, particularly remote oceanic areas. This has significantly hindered effective SAR efforts and recovery operations.

5.7 As part of the response to the Conclusions and Recommendations from the ICAO Multi-disciplinary Meeting on Global Tracking, ICAO developed a Concept of Operations (CONOPS) for a Global Aeronautical Distress and Safety System (GADSS). The implementation of this target concept will have implications for the provision of services such as air traffic control, SAR and accident investigation. It contains a large number of measures targeting improvements in SAR system response integrated within the wider Air Traffic Management and aircraft/airline operations systems.

5.8 The CONOPs notes that the effectiveness of the current alerting and SAR services should be increased by addressing a number of key improvement areas. The ICAO GADSS CONOPS also includes aspects which potentially involve use of 406 MHz ELTs and the Cospas-Sarsat system as part of the proposed GADSS solution.

### Cospas-Sarsat System

5.9 Cospas-Sarsat was currently is developing two major enhancements to its distress-alerting System of value to all System users, including the aviation industry. One is the introduction over the period of approximately 2016 to 2018, and beyond, of a new space-segment architecture based primarily on Medium-altitude Earth Orbit Search and Rescue (MEOSAR) payloads aboard Galileo, Global Navigation Satellite System (GLONASS) and Global Positioning System (GPS) satellites. This architecture will permit determination of a distress incident location (independent of any location data transmitted in the beacon message) beginning with the first burst from the distress beacon. This could mean near real-time and very frequent delivery of distress alerts.

5.10 The SAR/Galileo space segment also will provide a Return Link Service (RLS) that, among other possible future uses, will provide an acknowledgment back to the beacon to confirm when the distress message has been received.

5.11 The other major development is the completion in the next couple of years of specifications for the next second generation of 406-MHz distress beacons, including ELTs. This new generation of beacons should further improve speed and accuracy in locating an activated distress beacon. The period from beacon activation to first transmission is expected to be reduced from 50 seconds to three seconds. The specification will consider in-flight activation of ELTs when certain flight parameters are exceeded. The RLS is also being considered as part of the GADSS Concept, being a means of remotely activating an ELT, or similar distress mechanism integrated with an ELT on board an aircraft, in the case of an unresponsive or uncooperative cockpit.

5.12 States need to continue to ensure that aviators are aware that 121.5-MHz beacons cannot be detected by the global Cospas-Sarsat System. States also need to ensure that they have provided a suitable, clear and simple means for aircraft owners to register their 406-MHz distress beacons (for example, <http://www.cospas-sarsat.int/en/beacons-pro/beacon-regulations-pro/ibrd-user-information-for-professionals>).

5.13 Entries in the beacon register should be available to both aeronautical and maritime RCCs on a continuous basis (Annex 12 – *Search and Rescue* refers, although Annex 10 establishes the registration requirement). States should note that Annex 12 should be read in conjunction with the following ICAO Annexes:

Annex 6 – *Operation of Aircraft*: Part I International Transport (cargo and passenger aircraft), Chapter 6 in general and ELT in particular; Part II International General Aviation, Chapter 6 in general and ELT in particular; and Part III Helicopters, Chapter 4;

Annex 10 – *Aeronautical Telecommunications*;

Annex 11 – *Air Traffic Services*; and

Annex 14 – *Aerodromes*: Chapter 9 regarding aerodrome emergency planning; exercises; and, establishment, testing and assessment at regular intervals of a predetermined response for the specialist rescue services.

## CURRENT SITUATION

### Global Situation

6.1 ICAO reported in December 2012 that 2.9 billion people used scheduled air transport services in 2012, with the annualized passenger figure up 5 per cent since 2011, and is expected to reach over 6 billion by 2030 according to current projections. The number of flights should also double, from 30 million to 60 million a year. UPDATE DATA This is already in the Executive Summary

6.2 The ICAO Universal Safety Oversight Programme (USOAP), Report of audit results, 3<sup>rd</sup> Edition, April 2005 to August 2010 revealed a number of SAR deficiencies during the audits of 165 Member States: UPDATE FROM HQ?

- 38% of States had not laid down provisions for entry into their territory of SAR units (SRU) of other States for the purpose of search for the site of aircraft accidents and rescuing survivors;
- 44% of States had not developed a detailed plan on operation for the conduct of SAR operations within their respective Search and Rescue Regions (SRRs); and
- 67% of States had not established the necessary coordination of their SAR organisations with those of neighbouring States, including the conclusion of bi-lateral SAR agreements in order to coordinate SAR operations; and
- regarding RCCs –
  - i. about 40% of States had not developed job descriptions for their technical staff;
  - ii. 45% did not ensure that RCC personnel involved in the conduct of radiotelephony communications were proficient in the use of the English language; and
  - iii. about 56% of States do not regularly train their SAR personnel, and nor did they conduct SAREXs.

### Asia/Pacific SAR Analysis

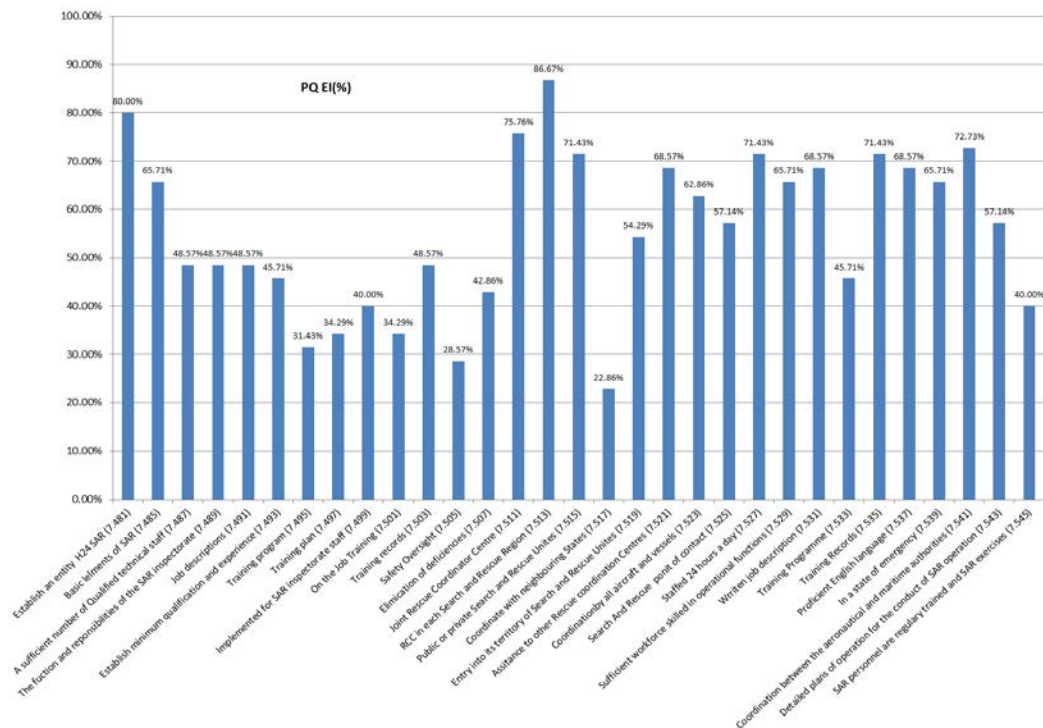
6.3 The last decade has seen a steady increase in air traffic in the Asia/Pacific Region. Maritime traffic is also increasing, adding further urgency to ensure that States with oceanic SAR responsibilities in the region meet the requirements of both ICAO and IMO for the provision of aviation and maritime SAR services.

6.4 An analysis of the 35 USOAP Protocol Questions (PQs) in June 2015 that involved SAR (7.182, 7.184, 7.481, 7.483, 7.485, 7.487, 7.489, 7.491, 7.493, 7.494, 7.495, 7.497, 7.499, 7.501, 7.503, 7.505, 7.507, 7.511, 7.513, 7.515, 7.517, 7.519, 7.521, 7.523, 7.525, 7.527, 7.529, 7.531, 7.533, 7.535, 7.537, 7.539, 7.541, 7.543, 7.545) resulted in an overall Effective Implementation (EI) of 50.68% for the Asia/Pacific Region. When analysed for 35 Asia/Pacific States and administrations, 14 SAR-related questions indicated EIs of below 50% (Figure X refers):

- 23% - PQ 7.517 (SAR coordination with neighbouring States);
- 29% - PQ 7.505 (effective SAR safety oversight);
- 31% - PQ 7.495 (SAR inspectorate training programme);
- 34% - PQs 7.497, 7.501 (SAR inspectorate periodic training plan and OJT);
- 40% - PQs 7.499, 7.545 (SAR inspectorate training implemented; and SAR

personnel regular training and appropriate SAR exercises arranged);

- 43% - PQ 7.507 (elimination of deficiencies identified by SAR inspectors);
- 46% - PQs 7.493, 7.533 (SAR inspector minimum qualifications and experience and RCC and RSC training programme); and
- 49% - PQs 7.487, 7.489, 7.491, 7.503 (sufficient SAR safety oversight staff, functions and responsibilities of the SAR inspectorate, SAR inspector job descriptions and SAR inspectorate training records system).



**Figure X:** SAR-related questions indicated EIs of below 50% (as at June 2015)

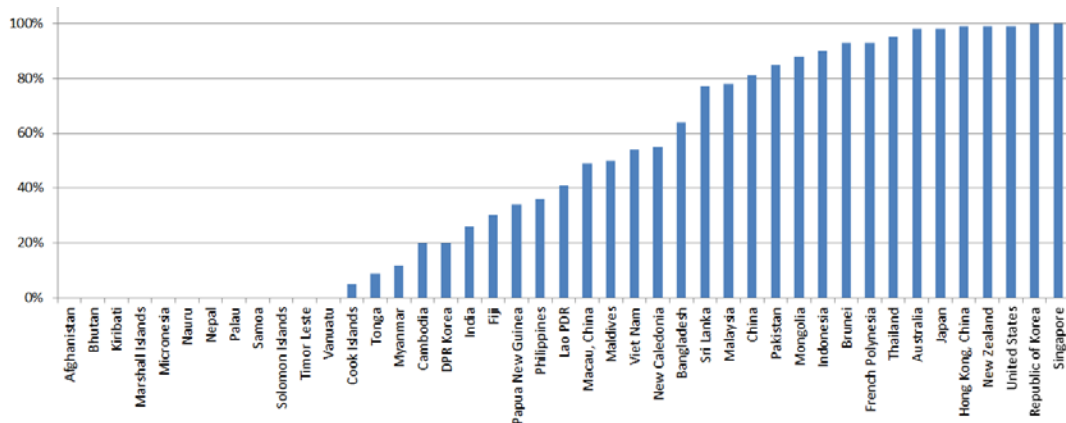
6.5 From this analysis, it appears that the major areas of weakness is in coordination with adjacent States, effective SAR oversight, and training of both SAR inspectors and staff that provide the SAR services. Therefore, a focus on the minimisation of barriers associated with the efficient cross-border coordination of SRU (such as pre-arranged approval) and other coordination mechanisms, including updates of SAR agreements (whatever their form) is vital. In addition, many States appear to have weak regulatory oversight of SAR services, due in part to a lack of certification and independent SAR regulation. Finally, there is a need for improved systemic approaches to training for both SAR inspectors and personnel responsible for the provision of SAR services, including the regular organisation of effective SAR exercise that test systems and personnel.

~~In this Section there should be a statement of SAR capability and barriers, issues, etc. This can largely come from the SAR/TF/4 report (WP06). However all known issues should be detailed here if already clear.~~

6.6 The 2014 SAR/TF/4 analysis indicated significant Annex 12 compliance weaknesses remained in the South Asia area and the Southwest Pacific. In addition, there were parts of Southeast Asia and East Asia that indicated a need for compliance improvement.

6.7 The overall SAR capability ranking of Asia/Pacific States (using a metric of 5% for an A = full Annex 12 compliance as advised by the State and 4% for a B = meets Annex 12 requirements in most areas) is indicated in **Figure X**:





**Figure X: Asia/Pacific SAR Capability Ranking (as at June 2015)**

6.8 The analysis should also identify where there are no or overlapping SRRs, or where there are significant differences in the delivery of aeronautical and maritime responsibilities.

#### Asia/Pacific SAR Coordination Forums

6.9 The Asia/Pacific Region will benefit from the cooperation and coordination of States and International Organizations involved in the APSAR/TF. After the APSAR/TF completes its tasks, the establishment of permanent joint ICAO/IMO Regional SAR Forums to enable collaboration and cooperation on oceanic SAR matters across the specific oceanic regions and including adjacent ICAO regions is considered imperative, such as:

- a Pacific Ocean SAR Forum – including Pacific States of the Asia/Pacific, North American and South American regions; and
- a Indian Ocean SAR Forum – including Indian Ocean States of the Asia/Pacific, South and East African and Middle East regions; and

Secretariat of the Pacific Community (SPC) – an existing forum which could include these matters on its work program.

6.10 There are several regional initiatives for cooperative support and development already being undertaken in the Asia/Pacific Region to assist with SAR capability enhancement. For example Examples of these are the initiatives by the Australian Maritime Safety Authority (AMSA), was sponsoring programs in partnership with to assist SAR capability enhancement in cooperation with Indonesia through the Indonesian Transport Safety Advancement Program (ITSAP) and with the Maldives, Mauritius and Sri Lanka through a SAR Capability Partnership Program (SCPP). New Zealand was providing assistance to the Pacific Island nations of Kiribati and the Kingdom of Tonga to improve their SAR capabilities, and the ASEAN SAR Working Group was providing leadership for a shared SAR vision in the sub-region. These initiatives involve assistance through:

- a) Development of National SAR Committees, Plans and procedures;
- b) Development of international SR Agreements;
- c) Improvements in SAR equipment, communications and software capability
- d) JRCC feasibility studies;
- e) SAR staff exchanges;
- f) SAR training and exercises;
- g) Provision of SAR technical solutions;
- h) Development of aircraft SAR Unit (SRU) capability and arrangements; and

## i) Development of SAR system publicity and safety education programs.

6.11 Such improvement programs can result from a request by a State needing assistance, ICAO/IMO oversight, the users of the SAR system itself, an audit or following a SAR 'Go-Team' visit that identifies weaknesses in the State's SAR capability (a 'Go Team' normally consists of external SAR experts from ICAO/IMO, more advanced 'champion' States or external agencies such as Cospas-Sarsat). The programs can be conducted by experts from a 'champion' State, or through a cooperative effort by several States or a regional body.

Barriers

6.12 The following potential issues should be considered to ensure they do not become barriers to the achievement of the expected SAR capability:

- a) absence of established ~~ment of an~~ appropriate legal framework designating, recognizing, supporting and giving authority to national SAR authorities, RCCs and SMCs;
- b) inadequate funding and equipping of SAR authorities and in particular, resourcing of the RCCs;
- c) absence of ~~setting of~~ an appropriate SAR organizational framework;
- d) absence of ~~establishment of~~ a National SAR Committee;
- e) lack of clarity of responsibilities for each component of the SAR system, ~~and empowerment of the RCC;~~
- f) absence of international ~~establishment of~~ SAR Agreements;
- g) inadequate civil/military cooperation; and
- h) complacency about, or lack of recognition of, the importance or priority given to SAR.

Global and Regional SAR Issues

6.13 States should monitor outcomes from global and regional ICAO and IMO SAR forums to ensure their State SAR authorities are updated on relevant SAR developments, otherwise State planning may not be synchronized with external international expectations, including users. Such forums may include APANPIRG and its Sub-Groups, other ICAO Region SAR groups, the JWG ~~(which States should be actively contributing to)~~, ICAO High Level Safety Conferences, etc.

6.14 The provision of sufficient resources is critical in a number of areas, including:

- a) Financial-
  - funding for 24 hour RCC facility and staff;
  - funding for use/hire of search and rescue units; and
  - Provision of a suitable administrative process enabling financial support including the ability for SAR authorities to quickly authorise payments required for emergency response aircraft, vessels and supporting logistics such as fuel.
- b) RCC personnel- a suitable number of trained and skilled staff, supplemented by a pool of trained RCC support staff where appropriate;
- c) RCC facilities-
  - appropriate RCC facility space;

- minimum RCC tools (such as current charts, plotting equipment, documentation, etc.);
  - identify and task available SRUs;
  - Aircraft and vessel tracking information including ADS-B, AIS, etc.;
  - reliable and rapid H24 communications, and a suitable means to-
    - receive and communicate distress alerts
    - communicate with ATS units, other RCCs/RSCs, Coast Radio Stations, COSPAS-SARSAT Mission Control Centres (MCCs), military units, medical services, meteorological offices, etc.;
  - information technology-
    - RCC workstation computers;
    - Software including basic databases, drift modelling, incident management, etc.;
- d) Contingency- back-up RCC facility, or arrangement with another RCC as a contingency against inability to operate from the primary RCC due to the need to evacuate or loss of systems, etc.;
- e) Search and Rescue Units (SRUs)-
- available and suitable SAR aircraft and crews;
  - funding arrangements/agreements for hiring/payment/sharing of SRUs to permit rapid deployment; and
  - Available and suitable SAR survival equipment for delivery by aircraft to survivors and to assist SAR coordination efforts (e.g.: SAR Datum Buoys, droppable life rafts and survival supplies, etc.);
- f) Training support-
- RCC staff – basic and ongoing;
  - SRU crews – pilots, air crew and air observers; and
  - RCC support staff – basic and refresher.

## PERFORMANCE IMPROVEMENT PLAN

### Preferred SAR Capability Specifications (PSCS)

*Note: PSCS are the non-mandatory expectations on all Asia/Pacific Region States to enhance SAR systems in order to meet a minimum level of SAR capability, with a high degree of interoperability and harmonisation, and interoperability with other ATM components such as Air Navigation Service Providers (ANSPs) and aerodrome operators.*

### PSCS Phase I (expected implementation by 8 November 2018) **DATE TBC**

*Note: Guidance Material for the implementation and monitoring of PSCS is expected to be developed by APANPIRG to align with the established Asia/Pacific Seamless ATM Implementation Guidance Material.*

7.1 *Legal Framework and Structure Planning:* All States should develop statutes and related provisions that establish or enhance the legal foundation for a State SAR organization and its framework, resources, policies and procedures to:

- a) ensure that it is party to the following Conventions, as applicable –
  - i. Convention on International Civil Aviation 1944;
  - ii. International Convention on Maritime Search and Rescue, 1979;
  - iii. International Convention for the Safety of Life at Sea (SOLAS), 1974;
  - iv. Convention on the High Seas, 1958; and
  - v. United Nations Convention on the Law of the Sea (UNCLOS), 1982;
- b) unless delegated by written agreement, establish an entity that provides, on a 24-hour basis, SAR services within its territories and designated area of responsibility/SRR;
- c) establish a National SAR Committee consisting of civil and where applicable, military members to enable a whole-of-government approach;
- d) empower SAR Mission Coordinators with the authority to adequately carry out their responsibilities;
- e) establish an Administrative Single Point of Contact for SAR (ASPOCS) for non-urgent, administrative matters, such details to be submitted to the ICAO Regional Office;
- f) conduct studies to check the feasibility for, and develop an implementation plan if ~~feasible~~ practicable, the integration of aviation and maritime SAR activities, and as far as practicable, civil and military activities, including joint training and familiarisation of staff and review of documentation to ensure harmonisation of procedures, and joint exercises;
- g) conduct studies to align, as far as practicable, aeronautical and maritime Search and Rescue Regions (SRRs); and SRRs and Flight Information Regions (FIRs); and
- h) establish a single State SAR Plan that –
  - i. designates the responsible RCC(s), RSC(s) and 24-hour SPOC/ASPOC;
  - ii. describes the relevant SRRs, including the coordinates and geographical chart depiction of the SRR and neighbouring SRRs;
  - iii. details the National SAR Committee;

- iv. details the governmental and non-governmental agencies with authority and responsibility for SAR coordination within its territories and designated area of responsibility;
- v. details required and available SAR facilities, personnel, and equipment;
- vi. details the SAR manuals, plans and procedures for national and regional cooperative SAR response arrangements;
- vii. details the SAR personnel training and competency programme, qualification standards, SAR certification if applicable and SAR cooperation training;
- viii. details the SAR agreements required;
- ix. is electronic and accessible on the Internet, such details to be submitted to the ICAO Asia/Pacific Regional Office; and
- x. is **controlled** **monitored** by quality assurance processes.

7.2 SAR Standards and Procedures: All States should:

- a) establish aerodrome emergency plans **that** provide for co-operation and co-ordination with RCCs;
- b) establish SAR **agreements** with States having adjoining SRRS or FIRs, including trans-regional neighbours (the **agreements** should include clear responsibilities for overlapping or non-adjointing aeronautical and maritime SRRs);
- c) provide up to date cross-border information on SAR capability (this should be included in bilateral SAR agreements);
- d) pre-arrange procedures for cross-border SAR responses (this should be included in bilateral SAR agreements);
- e) establish contingency procedures for delegation of SAR responsibility where such service is not able to be provided, or in contingency (temporary) circumstances;
- f) establish a program for an annual SAREX in each sub-region (South Asia, Southeast Asia, East Asia and the Pacific). Every second year may be a desktop communications exercise, and alternate years should be a full exercise; and
- g) establish RCC plans for response to Mass Rescue Operations (MROs) integrated with national disaster plans; and
- h) ensure robust SAR Alerting procedures are in place which:
  - i. are tested and fully integrated with RCC procedures so that RCCs are rapidly notified of any SAR event 24 hours a day;
  - ii. include procedures for joint aeronautical and maritime distress alert notification, including reliable delivery and acknowledgement of Cospas-Sarsat distress alerts, support and response to both aviation and maritime SAR incidents; and
  - iii. where applicable, include protocols for civil and military support and sharing of resources; and
  - iv. within category S (ATS surveilled) airspace, utilise a maximum time of 10 minutes for declaration of an Uncertainty phase (INCERFA).

**Note 1:** *current Annex 11/12 provisions require that INCERFA is declared within a maximum period of 30 minutes.*

**Note 2:** *As discussed in Annex 11 – Air Traffic Services, ATS units use the terms INCERFA, ALERFA and DETRESFA. However, maritime and joint RCCs may not be as*

*familiar with these terms since they may typically use the corresponding terms of uncertainty phase, alert phase and distress phase with corresponding terms SECURITE, PAN PAN or MAYDAY. Therefore, procedures need to be written to recognise these differences in terminology to avoid any misunderstanding between aeronautical and maritime responders.*

#### SAR Facilities and Resources

7.3 *RCC Facility:* All States should ensure that RCCs are of sufficient size ~~that has~~ with adequate provision for operational positions designed in accordance with human factors principles (such as human machine interface) for a major search involving civil and military assets where applicable, and facilities such as:

- a) Workstations, telephones (with international access), plotting tables, wall notice/status boards, computer equipment, and communications equipment and systems, briefing/debriefing areas (particularly if media are involved), and room for storage including incident records and recorders, RCC staff break and rest facilities;
- b) computer resources which may provide support to RCCs with incident management, plotting, search planning, mapping, contact databases, web-based information, etc.;
- c) charts, electronic or paper, which:
  - i. apply to SAR (aeronautical, nautical, topographic and hydrographic);
  - ii. depict SRR, neighbouring SRRs, FIR(s), SAR resources and made available for all relevant aeronautical and maritime RCCs, ATS units, aircraft operators; and
  - iii. provide a means of plotting;
- d) ability to reliably receive and acknowledge distress alerts H24; ~~maritime broadcast facilities;~~
- e) a means of recording, playback and archiving of communications;
- f) shipping/vessel communications and maritime broadcast facilities such as Coast Radio Stations, RCC radio and satellite communications, marine radio networks;
- g) aircraft communications – via ATS units, aircraft operators, satellite communications or direct;
- h) access to live aircraft and ship tracking data, e.g. ADS-B (aviation), AIS (maritime) allowing rapid identification of potential aircraft and vessels that may divert to assist;
- i) a means of obtaining meteorological information – forecast, present and historical data;
- j) if applicable drift modelling software;
- k) if applicable, ocean data including sea temperature, currents, winds, tides, etc.; and
- l) ~~if applicable, SAR Datum Buoys, preferably with satellite tracking capability; and~~
- m) RCC documentation and reference material such as plans of operation, procedures manuals, guidance material, ICAO and IMO references, SAR agreements, Cospas-Sarsat reference material.

7.4 *Personnel and Training* All States should:

- a) ensure there are adequate ATC resources (either an ATS supervisor or other staff) that can provide relief within Area Control Centres (ACCs) to allow timely SAR

- alerts and information to RCCs;
- b) ensure sufficient RCC staffing to provide a 24-hour SAR service;
  - c) ensure there is a sufficient number of trained specialist RCC officers including SMCs and A/SMCs;
  - d) ensure availability of a pool of RCC support staff who are familiar with RCC operations, but not trained as coordinators, that can assist with the functioning of the RCC during SAR incident response;
  - e) develop SAR personnel position descriptions that detail responsibilities and eligibility criteria for recruitment of operational staff;
  - f) develop a comprehensive training programme that includes SAR training for:
    - i. RCC SAR Coordinators (SCs) based on a competency-based assessment approach to ensure technical and English language proficiency, cyclical (periodic) instruction that provides continuous training to ensure competency is maintained, and a system for maintaining training records; and
    - ii. SRU staff, including military personnel.
  - g) ensure RCC staff are proficient in the English language; and
  - h) conduct regular visits to relevant RCCs, ATC units and airline operating centres in order to understand ~~their~~ those organizations, facilities and capabilities (reference Annex 12, paragraph 3.1.9), ensure those organisations are familiar with each other's roles and responsibilities in SAR situations, and maintain good collaborative working relationships.

7.5 *Oceanic Capability*: Where applicable, States should establish additional oceanic SAR capability to ensure a timely and adequate SAR response is available to all oceanic areas of their SRRs. This may be met through cooperative arrangements with neighbouring States or other RCCs.

7.6 *Search and Rescue Units*: All States should establish capabilities enabling:

- a) availability and deployment of suitably crewed trained and equipped SRUs (including a pool of air search observers trained in visual search techniques), public and/or private, civil and military, for rapid SAR response;
- b) availability and deployment of SRU craft that may be in use for another primary purpose but made available to RCCs for SAR purposes on an as needed emergency basis (vessels, ~~and~~ aircraft and land units ~~of opportunity~~);
- c) protocols for civil SAR authorities to request the assistance of military assets, and similarly military SAR authorities ~~allowed~~ to request civil assets;
- d) a communication means and information protocols between the State's Aeronautical and Maritime SAR Authorities;
- e) cooperative use and/or sharing of SAR assets with protocols incorporated within National SAR Plans and bilateral SAR Agreements;
- f) pre-arranged government authority for funding of costs associated with hiring of SRUs, and payment for critical supporting logistics such as fuel, to avoid any delays in response availability;
- g) aircraft ~~(multi-engine where possible)~~, with the ability and regulatory approval to safely:
  - i. operate under IFR conditions, including at night, and if applicable, long distances over water;

- ii. conduct searches using standard search patterns with accurate navigation;
- iii. conduct emergency medical services and medical retrieval operations, including from vessels;
- iv. operate a rescue winch;
- v. utilise a marine VHF radio to enable communication with vessels if used over maritime areas;
- vi. utilise location-finding capability for locating distress beacons day and night;
- vii. utilise other electronic search capability including, where possible, FLIR and night vision devices; ~~and~~
- viii. deliver or drop SAR supplies and life sustaining equipment to survivors, for example. SAR Datum Buoys (preferably with satellite tracking capability), life rafts, communications equipment, survival supplies to sustain life pending rescue, etc.; and
- ix. availability of suitable and easily accessible SAR stores facilities containing SAR supplies for delivery from SRUs. Does this point need reinforcing?  
~~deliver or drop SAR supplies to survivors and other SAR equipment (e.g. SAR Datum Buoys, life rafts, survival supplies to sustain life pending rescue, etc.);~~

*Note: the use of Unmanned Aerial Systems (UAS) may provide a useful SRU aircraft capability that has longer range/loiter capability and multiple sensors specifically designed for SAR.*

#### 7.7 Distress Beacons: All States should :

- a) establish an appropriate nationwide means of disposal for old distress beacons;
- b) have a reliable distress beacon registration system in place which includes up to date registration details for all national civil and military ELTs, EPIRBs and PLBs;
- c) maintain a distress beacon register with details available and accessible to RCCs 24 hours a day;
- d) establish a plan for the introduction of new second generation 406MHz distress beacons receiver capability is this RLS?;
- e) where practicable, establish systems to enable the MEOSAR system if this is required by the State; and
- f) where separate ARCCs and MRCCs exist with responsibility for coincident aviation and maritime SRRs, ~~States should~~ coordinate distress beacon alert procedures to ensure both RCCs are aware of any distress beacon activations within their areas to avoid duplication of response. For example, MRCCs should ensure their procedures alert ARCCs and ATS units to any EPIRB activations.

7.8 Contingency Facilities: All States should ensure there are established contingency facilities, or when a SAR service is not able to be provided, procedures in place for the temporary delegation of the SAR responsibility to another appropriate national body or State. All States should test their contingency arrangements periodically, but not less than once every six months.

#### SAR Information

#### 7.9 Provision of Information: All States should ensure the:

- a) establishment of a centralised information source publishing all Asia/Pacific State



Aeronautical Information Publication (AIP) information as required by ICAO Annex 15 Appendix 1, page APP 1-8 including:

- i. The agency responsible for providing SAR services;
  - ii. The area of SAR responsibility where SAR services are provided;
  - iii. The type of SAR services and facilities provided including indications where SAR aerial coverage is dependent upon significant deployment of aircraft;
  - iv. SAR agreements;
  - v. The conditions of SAR facility and service availability; and
  - vi. SAR procedures and signals used;
- b) establishment of an Internet-based SAR information sharing system (with security protocols as required and in accordance with the emerging System Wide Information Management – SWIM concept as applicable) to share SAR activity with States and key stakeholders participating in a SAR activity (the information sharing system should include a means of handling media and next of kin enquiries, and recognise the need to avoid premature media statements); and
- c) maximum practicable cooperation between State entities in the provision of accurate and timely information when required, including from military sources except where national security could be adversely affected.

7.10 *SAR Facilities and Equipment Lists*: All States should develop and maintain a current, comprehensive electronic list of State SAR Facilities, SAR Equipment, and SAR Units (SRUs), including joint or shared facilities and equipment, and provide the Internet link to that list to the ICAO Asia/Pacific Regional Office.

7.11 *SAR Library*: All States should:

establish a web-based SAR Library, or cooperate by contributing to an Internet-based Asia/Pacific resource (such

as [http://www.useg.mil/hq/eg5/eg534/SAR\\_Manuals.asp](http://www.useg.mil/hq/eg5/eg534/SAR_Manuals.asp) [www.uscg.mil/nsarc/](http://www.uscg.mil/nsarc/)); and

- a) ensure that each RCC and SAR Authority has ready access to a current copy (either electronic or hard copy) of the following reference documents at a minimum:
- i. ICAO Annex 12;
  - ii. IAMSAR Manual Volumes I, II and II;
  - iii. SOLAS; and
  - iv. Asia/Pacific SAR Plan.

*Note: Appendix 1 provides a list of recommended documents for an aeronautical RCC or a JRCC. This needs discussing*

#### SAR Improvement

7.12 *Search and Rescue Exercises (SAREX)*: All States should conduct regular SAREX (at least once every two years) to test and evaluate existing coordination procedures, data and information sharing and SAR response arrangements involving:

- a) ~~if applicable, oceanic SAR response procedures, including~~ both aeronautical and maritime SAR authorities including both civil and military agencies as applicable, and related bodies such as Air Navigation Service Providers (ANSPs) and Airline Operations Centres (AOCs);

- b) where appropriate, cross-SRR boundary coordination (SAREX should routinely involve SAR authorities of adjacent SRRs, especially if the area concerned is within 50NM of the SRR boundary), ~~relevant Airline Operating Centres (AOCs) and Air Navigation Service Providers (ANSPs)~~; and
- c) SAREX effectiveness through a post-SAREX review and written report, completed to ensure that deficient areas or latent problems ~~were~~ **are** identified and remedied.

*Note 1: a SAREX template is provided at **Appendix 2**.*

*Note 2: SAREX must test the SAR system, including unannounced alerts that allow an actual search (whether it is a desktop or a physical operation), to be conducted which will indicate weaknesses in the system. SAREX should not be confused with, or take the form of, simulated crash fire exercises **such as for Aerodrome Emergency Procedures that do not have a search component.***

*Note 3: Real SAR incident responses which include an adequate post-response review and evaluation with lessons learned may replace the need for a SAREX.*

7.13 *SAR Quality Assurance:* All States should implement SAR System Improvement and Assessment measures, including Safety Management and Quality Assurance systems, that:

- a) provide performance and safety indicators, including post-incident/accident lessons learned and management reviews (RCC and SAR System Continuous Improvement process), and feedback from RCC staff, SAR system users or SAR stakeholders;
- b) identifies risk and corrective and preventive actions that prevent or minimise risk and the possibility of substandard SAR performance;
- c) establishes an internal quality assurance programme, which includes regular internal audits of the RCC, SAR operations, SAR facilities and procedures that are conducted by trained auditors;
- d) ensures the person responsible for internal quality assurance within the entity responsible for SAR services has direct access to report to the Chief Executive of the entity responsible for SAR services on matters of quality assurance; **and**
- e) provides submissions to the JWG to share lessons learned and experiences with other global States for the continuous improvement of the worldwide SAR system.

*Note 1: Resourcing of SAR system audit arrangements could be mitigated by States entering cooperative arrangements, including sub-regional regulation, between States for auditing of each other's SAR systems to share expertise and costs.*

*Note 2: Provisions of Annex 19 for a Safety Management System (SMS) may apply where a SAR service is provided under the authority of an ATS provider (Annex 19, Chapter 3, 3.1.3 e refers).*

7.14 *SAR Management Review:* All States should conduct an annual or more frequent analysis of their current State SAR system to identify specific gaps in capability against the minimum requirements of Annex 12 and the guidelines of the IAMSAR Manual to:

- a) enable the ICAO Asia/Pacific SAR data to be updated to accurately reflect the State's capability;
- b) analyse the level of consistency of SAR services in neighbouring States;
- c) identify SAR research and development programmes, conducted if possible in cooperation with other States;
- d) establish a common set of basic SAR system statistics, which include-

- i. number of SAR incidents per year;
  - ii. number of lives at risk versus number of lives saved;
  - iii. time from first alert to arrival on scene of first SRU; and
  - iv. time from first alert to rescue.
- e) plan for any necessary improvements and compliance to gradually build and improve capability over time, which would be detailed in the State SAR Plan; and
  - f) update SAR agreements as appropriate.

*Note: The National Self-Assessment found in IAMSAR Manual Vol I Appendix H and the ICAO USOAP-CMA Protocol Questions for SAR may assist States with their reviews.*

7.15 **SAR Promotion:** All States should conduct SAR promotional programs (e.g. Seminars, Workshops and public safety campaigns) to:

- a) encourage higher SAR preparedness by persons that may require SAR services through public safety campaigns aimed at preventing persons getting into distress situations (i.e.: 'preventative SAR');
- b) ensure the support of SAR facilities and improvements by government decision-makers, in particular adequate funding availability;
- c) assist media to understand SAR operations in order to minimise the need for explanations during SAR responses;
- d) recognise improvement in State SAR systems;
- e) enhance cooperation between SAR services and –
  - i. civil, military and police agencies;
  - ii. ANSPs;
  - iii. aerodrome and port operators;
  - iv. aircraft and shipping operators;
  - v. meteorological agencies;
  - vi. government and non-government agencies affected by SAR operations, in particular large scale national and international responses involving whole of government agencies and
  - vii. other States.

***PSCS Phase II (expected implementation by 2021?)***

**Heading**

7.16 Include here all items that may not be able to be achieved by 2018.

## RESEARCH AND FUTURE DEVELOPMENT

### Research and Development

8.1 To develop the tools and systems required to meet foreseeable long-term requirements, there is a need for States to undertake planning and co-operation on SAR matters. This includes major efforts to define concepts, to extend knowledge and invent new solutions to future SAR challenges so these new concepts are selected and applied in an appropriate timely manner. Such efforts could be forged through collaborative partnerships between, States, ANSPs, International Organizations, institutes of higher learning and specialised technical agencies. This concept is consistent with Seamless ATM Principle 36 (*Inter-regional cooperation ('clustering') for the research, development and implementation of ATM projects*), and may manifest itself in joint projects such as:

- ICAO/IMO Regional SAR Training Teams to assist States that are unable to provide their own SAR training;
- Joint Sub-regional RCCs (ASEAN States in particular may be candidates for a single centre of excellence that brings together civil and military SAR experts from all ASEAN States and provides a single SAR facility that is cost-effective and has a level of resources and facilities that would be difficult for all States to maintain by themselves; and
- Regional online eLearning packages.

8.2 With the end goal of a globally interoperable SAR system in mind, the region will have to consider planning for a long term supporting concept and infrastructure. The following are possible areas that should be considered for future SAR research and development, in order to promote the maximum possible harmonisation and interoperability of SAR systems:

- a) real time data sharing such as aircraft and ship tracking information;
- b) real time automated data link communication to RCCs when an aircraft or ship exceeds a Variable Set Parameter (VSP) in terms of its operating envelope, or activation of an emergency status (could be displayed as a symbol, and the data could include certain operating parameters such as acceleration and altitude for an aircraft) – note the ICAO GADSS includes this concept;

regional Unmanned Aerial Systems (UAS) SAR capability

inclusion of the SAR system and RCC access as a component of the new ICAO SWIM concept of operation and implementation;

enhanced technology oriented systems to improve SAR system effectiveness; and

- c) key States such as Australia, France, India, Japan, New Zealand and the USA that share large remote regions with less developed SAR States should come together as one project to determine the requirements for an integrated and harmonised Asia/Pacific remote SAR platform that the States involved could set up a tender for the myriad of UAS manufacturers that may be available to meet in terms of specific SAR specifications.

*Note: specifications could include items like range 2,500km+, endurance 12 hours+, GNSS programmable, data-link command through Iridium NEXT, real-time data feed, visual/infra-red/radar scanning, carries SSR transponder and able to receive/transmit VHF within VHF airspace.*

## MILESTONES, TIMELINES, PRIORITIES AND ACTIONS

### Milestones

9.1 Section 7 (Performance Improvement Plan) provides milestones and timelines for a number of elements in the PSCS Phase I and II, being effective 08 November 2018 and XXX (to be added only if there are Phase II elements, otherwise this reference will be deleted) respectively.

9.2 It should be noted that States should commence planning for the various PSCS elements. This should be planned from the approval of this Plan, to ensure a smooth transition by the onset of Phase I, and should include consideration of issues such as:

- safety/operational analysis and assessment;
- cost-effectiveness;
- budgetary issues;
- development of operational procedures; and
- training.

9.3 Section 8 (Research and Future Development) provides, subject to future agreement by concerned parties, possible SAR improvements beyond 2018 until 2028.

### Priorities

9.4 It is a matter for each State to determine priorities in accordance with its own economic, environmental, safety and administrative drivers.

### Actions

9.5 This Plan necessitates a number of implementation actions. It is expected that each Asia/Pacific State report progress on each applicable element to APANPIRG. All States should note the importance of SAR status monitoring, which is expected to be conducted as part of the Seamless ATM on-line monitoring. Reporting of implementation progress of SAR elements from this Plan is expected to be conducted by the on-line Seamless ATM Reporting and Monitoring system, using the following categories in accordance with the SAR Air Navigation Reporting Form (ANRF) B0-SAR:

- SAR Regulatory and Coordination Mechanisms ;
- SAR Facilities and Assets;
- SAR Information; and
- SAR Improvement.

9.6 Section 6 (Current Situation) provides detailed analysis and major concerns in the region, which should be considered in the formulation of specific State plans.

9.7 SAR Coordination Forums, which are likely to be based on sub-regional development (such as a Pacific Ocean SAR Forum and Indian Ocean SAR Forum) need to be promoted, established and supported to ensure the on-going implementation work and future review of SAR expectations linked to this Plan are conducted.

### SAREX

9.8 A program is expected to be established for an annual SAREX in each sub-region (South Asia, Southeast Asia, East Asia and the Pacific), with every second year being a desktop

communications exercise, and alternate years being a full exercise. The Exercise outcomes and lessons learned should be reported to APANPIRG through the ATS Sub-Group.

9.9 The ICAO Asia and Pacific Regional Office is responsible for taking actions that assist the implementation of SAR within its accredited States, in coordination with the IMO. In addition, the Asia and Pacific Regional Office coordinated with adjacent ICAO regional offices on an ad hoc basis or at relevant trans-regional meetings.

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**APPENDIX 1: LIST OF DOCUMENTS AND PUBLICATIONS RELEVANT TO AERONAUTICAL SAR OR A JRCC****APSAR/TF/4 NEEDS TO ADD OR DELETE DOCUMENTS FROM THE IMO LIST BELOW**

<b>REFERENCE</b>	<b>DATE</b>	<b>TITLE</b>
<b>1 Publications</b>		
All following publications are available (to be purchased) on: <a href="http://www.imo.org/Publications/Documents/Attachments/Welcome.pdf">http://www.imo.org/Publications/Documents/Attachments/Welcome.pdf</a>		
IE-110 A/C/E/F/R/S	2009	International Convention for the Safety of Life at Sea (SOLAS) (Consolidated Edition, 2009)
I-175 A/C/E/F/R/S	2009	SOLAS Amendments 2008 and 2009
I-176 A/C/E/F/S	2011	SOLAS Amendments 2010 and 2011 (2011 edition)
IB-955 A/C/E/F/R/S	2006	SAR Convention (2006 edition)
IH-960 E/F/S	2013	International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual Volume I (2010 edition) – Organization and Management
IE-961 E/F/S	2013	International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual Volume II (2010 edition) – Mission Coordination
IH-962 E/F/S	2013	International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual Volume III (2010 edition) – Mobile Facilities
IE-970 E	2011	GMDSS Manual (2011 edition)
I-969 E	1992	GMDSS Operating Guidance Card (1992 edition)
IA-987 E/F/S	2005	IMO Standard Marine Communication Phrases (SMCP) – (including CD-ROM: pronunciation guide) (2005 edition)
IB 908 E/F/S	2011	*International SafetyNET Manual (2011 edition)
ID 951 E/F/S	2012	**NAVTEX Manual (2012 edition)
I-910 M	2010	Joint IMO/IHO/WMO Manual on Maritime Safety Information (MSI) (2010 edition)
IA-994 E/F/S	2005	International Code of Signals (2005 edition)
II-200 E/F/S	2012	International Maritime Dangerous Goods Code

IH-210 E/F/S	2010	(IMDG Code) (2012 edition) (incorporating amendment 36-10) IMDG Code Supplement (2010 edition)
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## 2 Unpublished documents

Following Assembly resolutions and MSC Circulars are available for free at:

<http://www.imo.org/KnowledgeCentre/IndexofIMOResolutions/Pages/Default.aspx>

COMSAR Circulars are available for free at (IMODOCS):

<http://docs.imo.org/Category.aspx?cid=376>

Res. A.705(17), as amended (MSC.1/Circ.1287.Rev.1)	06/11/91	Promulgation of Maritime Safety Information (MSI)
Res. A.706(17), as amended (MSC.1/Circ.1288.Rev.1)	06/11/91	World-Wide Navigational Warning Service
Res. A.814(19)	23/11/95	Guidelines for the Avoidance of False Distress Alerts
Res. A.855(20)	27/11/97	Standards for onboard helicopter facilities
Res. A.856(20)	27/11/97	Guidance to Administrations on development of a shore-based SAR telecommunication infrastructure
Res. A.887(21)	25/11/99	Establishment, updating and retrieval of the information contained in the registration databases for the Global Maritime Distress and Safety System (GMDSS)
Res. A.894(21)	25/11/99	International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual
Res. A.919(22)	29/11/01	Acceptance and implementation of the International Convention on Maritime Search and Rescue, 1979, as amended
Res. A.920(22)	29/11/01	Review of safety measures and procedures for the treatment of persons rescued at sea
Res. A.949(23)	05/12/03	Guidelines on places of refuge for ships in need of assistance
Res. A.950(23)	05/12/03	Maritime Assistance Services (MAS)
Res. A.954(23)	05/12/03	Proper use of VHF channels at sea
Res. A.999(25)	29/11/07	Guidelines on voyage planning for passenger ships operating in remote areas
Res. A.1001(25)	29/11/07	Criteria for the provision of mobile-satellite communication systems in the Global Maritime Distress and Safety System (GMDSS)
Res. A.1044(27)	30/11/11	Piracy and armed robbery



		against ships in waters off the coast of Somalia
Res. A.1051(27)	20/12/11	IMO/WMO Worldwide Met-Ocean Information and warning Service – Guidance Document
Res. MSC.131(75)	21/05/02	Maintenance of a continuous listening watch on VHF channel 16 by SOLAS ships whilst at sea after 1 February 1999 and installation of VHF DSC facilities on non-SOLAS ships
Res. MSC.167(78)	20/05/04	Guidelines on the treatment of persons rescued at sea
Res. MSC.199(80)	16/05/05	Adoption of amendments to provision of radio services for the Global Maritime Distress and Safety system (GMDSS) – (resolution A.801(19))
Res. MSC.239(83)	12/10/07	Adoption of amendments to the International Convention for the Safety of Life at Sea, 1974, as amended (relating to GMDSS satellite providers)
Res. MSC.305(87)	17/05/10	Guidelines on Operational Procedures for the promulgation of Maritime Safety Information concerning acts of Piracy and Piracy counter-measure operations
COMSAR/Circ.3	19/04/96	Relations between NAVAREA Coordinators and Rescue Coordination Centres
COMSAR/Circ.13	06/03/98	Shore-to-ship communications during a distress
COMSAR/Circ.22	20/06/00	Guidance on data fields for SAR databases
COMSAR/Circ.23	20/06/00	Guidance for central alerting posts (CAPs)
COMSAR/Circ.25	15/03/01	Procedure for responding to DSC distress alerts by ships
COMSAR/Circ.29	27/05/02	Guidance for the voluntary use of the standardized questionnaires and formats for reporting false alerts in collecting data on false alerts
COMSAR/Circ.31	06/02/03	Guidance for Mass Rescue Operations (MROs)
COMSAR/Circ.35	21/05/04	Recommendations on medium frequency/high frequency (MF/HF) digital selective calling (DSC) test calls to coast stations
COMSAR/Circ.37	28/02/05	Guidance on minimum

COMSAR.1/Circ.41	16/10/07	communication needs of Maritime Rescue Coordination Centres (MRCCs) Analysis of Maritime Safety Information Promulgated via the EGC SafetyNET system and recommendations on improving its quality
COMSAR.1/Circ.45	04/02/09	Guidance on distress alerts
COMSAR.1/Circ.53/Rev.1	27/06/11	List of Land Earth Station (LES) Operation Coordinators in the Inmarsat System
COMSAR.1/Circ.50/Rev.3	13/01/12	*Distress priority communications for RCC from shore to ship via Inmarsat
COMSAR.1/Circ.51/Rev.3	18/01/12	List of NAVAREA Coordinators
COMSAR.1/Circ.55	30/11/12	Guidance for entering and updating information on search and rescue services into GISIS and on how to get access to the information for operational use
COMSAR.1/Circ.56	30/11/12	Guidance on smartphone and other computer device SAR applications
MSC/Circ.805	06/06/97	Guidance for the use of radio signals by ships under attack or threat of attack from pirates or armed robbers
MSC/Circ.895	04/02/99	Recommendation on helicopter landing areas on ro-ro passenger ships
MSC/Circ.896/Rev.1	12/06/01	Interim measures for combating unsafe practices associated with the trafficking or transport of migrants by sea
MSC/Circ.960	20/06/00	Medical assistance at sea
MSC/Circ.1042	28/05/02	List of contents of the "Emergency Medical Kit/Bag" and medical consideration for its use on ro-ro passenger ships not normally carrying a medical doctor
MSC/Circ.1043	31/05/02	Guidance on ships' daily reporting of their positions to their companies
MSC/Circ.1073	10/06/03	Measures to enhance maritime security – Directives for maritime rescue coordination centres (MRCCs) on acts of violence against ships
MSC/Circ.1078	06/06/03	Guidelines to Administrations on reporting false alerts
MSC/Circ.1079	10/07/03	Guidelines for preparing plans

MSC/Circ.1105	25/02/04	for cooperation between search and rescue services and passenger ships (in accordance with SOLAS regulation V/7.3) Guidance on responsibility and liability issues related to the use of the emergency medical kit/bag and evaluation of its use in emergency incidents
MSC/Circ.1172	23/05/05	Identification of passenger ships, other than ro-ro passenger ships, which should benefit from being equipped with the Emergency Medical Kit/Bag (EMK)
MSC.1/Circ.1182	31/05/06	Guide to recovery techniques
MSC.1/Circ.1183	31/05/06	Guidelines on the provision of external support as an aid to incident containment for SAR Authorities and others concerned
MSC.1/Circ.1184	31/05/06	Enhanced contingency planning guidance for passenger ships operating in areas remote from SAR facilities
MSC.1/Circ.1185/Rev.1	23/05/12	Guide for cold water survival
MSC.1/Circ.1186	01/06/06	Guidelines on the training of SAR service personnel working in major incidents
MSC.1/Circ.1208	22/05/06	Promoting and verifying continued familiarization of GMDSS operators on board ships
MSC.1/Circ.1210	11/07/06	Cospas-Sarsat International 406 MHz Beacon Registration Database
MSC.1/Circ.1218	15/12/06	Guidance on exchange of medical information between telemedical assistance services (TMAS) involved in international SAR operations
MSC.1/Circ.1248	16/10/07	Minimizing delays in search and rescue response to distress alerts
MSC.1/Circ.1287/Rev.1	June 2013	Amendments to resolution A.705(17) – Promulgation of Maritime Safety Information
MSC.1/Circ.1288/Rev.1	June 2013	Amendments to resolution A.706(17) – World-Wide Navigational Warning Service
MSC.1/Circ.1333	26/06/09	Recommendations to Governments for preventing and suppressing piracy and

MSC.1/Circ.1334	23/06/09	armed robbery against ships Guidance to shipowners and ship operators, shipmasters and crews on preventing and suppressing acts of piracy and armed robbery against ships
MSC.1/Circ.1338	01/03/11	Guidance to search and rescue services in relation to requesting and receiving LRIT information
MSC.1/Circ.1364	24/05/10	Revised International SafetyNET Manual
MSC.1/Circ.1365	24/05/10	Commercially available locating, tracking and emergency notification devices
MSC.1/Circ.1367	24/05/10	Amendments to the International Aeronautical and Maritime Search and Rescue (IAMSAR) Manual
MSC.1/Circ.1382	03/12/10	Questionnaire on the availability of shore-based facilities in the GMDSS
MSC.1/Circ.1403	23/05/11	Revised NAVTEX Manual
MSC.1/Circ.1412	28/05/12	Principles and Guidelines relating to the review and audit of the performance of LRIT Data Centres and the International LRIT Data Exchange (Principles and Guidelines)
MSC.1/Circ.1413	25/05/12	Basic safety guidance for yacht races or oceanic voyages by non-regulated craft
MSC.1/Circ.1415	25/05/12	Amendments to the IAMSAR Manual
GMDSS.1/Circ.14	18/12/12	Master Plan of shore-based facilities for the GMDSS (GMDSS Master Plan)
SAR.8/Circ.4	01/12/12	Global SAR Plan containing information on the current availability of SAR services
SAR.7/Circ.11	2013	List of IMO documents which should be held by an MRCC
<b>Non-IMO documents</b>		
ITU List IV E/F/S	November 2011	List of Coast Stations and Special Service Stations (List IV) [on CD-ROM] can be purchased at: <a href="http://www.itu.int/pub/R-SP-LM/en">http://www.itu.int/pub/R-SP-LM/en</a>
ITU List V E/F/S	April 2012	List of Ship Stations and Maritime Mobile Service Identity Assignments (List V) [on CD-ROM]

ITU MARS E/F/S	Updated daily	free of charge access using: brtpr@itu.int, <a href="http://www.itu.int/ITU-R/go/mars/en">http://www.itu.int/ITU-R/go/mars/en</a> Maritime online access and retrieval system (MARS) containing the same information as in List IV and List V above <a href="http://www.itu.int/ITU-R/index.asp?category=terrestrial&amp;link=mars&amp;lang=en">http://www.itu.int/ITU-R/index.asp?category=terrestrial&amp;link=mars&amp;lang=en</a>
Cospas-Sarsat – C/S G.007	October 2012	Handbook on Distress Alert Messages for RCCs, SPOCs and IMO Ship Security Competent Authorities (issue 1/Rev.3) Available for free at: <a href="http://www.cospas-sarsat.org/images/stories/SystemDocs/Current/cs_g007_oct_2012.pdf">http://www.cospas-sarsat.org/images/stories/SystemDocs/Current/cs_g007_oct_2012.pdf</a>
ARNP		Air Regional Navigation Plans (ARNP) <a href="http://www.icao.int/safety/ANP/Pages/Air-Navigation-Plans.aspx">http://www.icao.int/safety/ANP/Pages/Air-Navigation-Plans.aspx</a>
List of Radio Signals Non-IMO document		National documents related to national requirement

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## APPENDIX 2: WORK PLAN FOR THE COORDINATION MEETING OF A **[[JOINT]]** SEARCH AND RESCUE EXERCISE

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### 1. OBJECTIVES

State the objectives of the **[[joint]]** SAREX and what are to be achieved out of the SAREX by all participants.

1.1 The objectives of the **[[joint]]** SAREX are:

- a) To provide continuation of SAR exercise and improve cooperation between ..... (participating agencies or State RCC) and ..... (participating agencies or State RCC).
- b) To provide continuation training for personnel of SAR organisations from both ..... (participating agencies or State RCC) and ..... (participating agencies or State RCC)
- c) To test the communication facilities and procedures between ..... (participating agencies or State RCC) and ..... (participating agencies or State RCC); and
- d) To test and determine the effectiveness of the Search and Rescue Units of ..... (participating agencies or State RCC) and ..... (participating agencies or State RCC).

### 2 DATE AND TIMING OF SAREX

State the agreed date, time and year for the **[[joint]]** SAREX. Have alternate or contingency plan in the event that the full scale SAREX cannot be conducted due to weather or any unforeseen circumstances. It is recommended that a pre-SAREX brief be conducted to ensure all participants understand their roles and the required actions to be taken. State the agreed time for a pre-SAREX brief to be carried out for all participants and States may conduct simultaneous pre-SAREX brief at their own location for their local participants. For standardization and to avoid confusion, it is recommended that all timing and dates used should be in UTC as there may be difference in time and day for different States. After the SAREX, it is also recommended to conduct a de-brief for all participants.

For example:

- 2.1 Table Top SAREX or A Full Scale Exercise will be held between ..... (participating agencies or States) and ..... (participating agencies or State) on .....(date/month/year according to UTC) .....(day of the week according to UTC) from ..... (time in UTC) to ..... (time in UTC).
- 2.2 In the event of bad weather, the Full Scale SAREX will be converted into a Table Top SAREX. The cut off time will be at ..... (time in UTC).
- 2.3 A Pre-SAREX brief will be held on ..... (day/month/year according to UTC) ..... (day of the week according to UTC) in ..... (location of the pre-SAREX brief) at ..... (time in UTC).
- 2.4 De-Brief will be held on ..... (day/month/year according to UTC) ..... (day of the week according to UTC) in ..... (location of the de-brief) at ..... (time in UTC).

### 3 SCENARIO

*Discussion and development of exercise scenario with participating State or States and agencies involved. Scenario created should be as realistic as possible to simulate close to a real incident. A fictitious flight plan can be included to provide additional information pertaining to the distressed aircraft as required by the RCCs. Using fictitious callsign or airline for distressed aircraft will avoid complication or confusion especially if it involves the social media.*

For example:

- 3.1 At ..... (time in UTC), a chartered .....(type of aircraft) ..... (callsign of distressed aircraft) departed from ..... (point of departure) to ..... (destination) with ..... (POB). At ..... (time in UTC), aircraft declared “MAY DAY” due to ..... (nature of emergency) at ..... (location in Lat and Long or with reference to a prominent location known to all). .....
- 3.2 Other information like Pilot-in-command ..... equipment carried on board ....., colour of aircraft fuselage or tail.

### 4 PARTICIPATING ORGANISATIONS OR UNITS

*Identify and list all participating agencies or agencies from both States. Agencies should include both government and private. ANSP, Aircraft Investigation Bureau, Airlines etc should be involved in a SAREX as they are directly involved in any real air incident*

For example:

- 4.1 From ..... (participating local agencies or States)
- 1) Civil Aviation Authority of .....
  - 2) Local Airforce
  - 3) Local Navy
  - 4) .....
  - 5) .....

From ..... (the other participating local or States):

- 1) Civil Aviation Authority of .....
- 2) Local Air Force
- 3) Local Navy
- 5) .....
- 6) .....

### 5 DEPLOYMENT OF EXERCISE SAR UNITS (SRUs) AND CALL SIGNS

*State all the SAR assets that will take part in the SAREX. It is recommended that the call signs of the SRUs should be pre-fixed with the word “SAREX” to indicate that it is an exercise aircraft or surface vessel. This will not create any confusion between a SAREX and a real incident. Call sign assigned to a particular SAR asset should not be changed and to be used throughout the exercise. Different SAR asset should be assigned with an individual flight number.*

5.1 SRUs from ..... (participating State) and their call signs are as follows:

<u>Type of SRUs</u>	<u>Call sign</u>	<u>Remarks</u>
Fokker 50	SAREX 01	Search
C130	SAREX 02	Search
Dolphin Helicopter	SAREX 03	Search and Rescue
.....	SAREX.....	.....
.....	SAREX.....	.....
.....	SAREX.....	.....

5.2 SRUs from ..... (the other participating State) and their call signs are as follows:

<u>Type of SRUs</u>	<u>Call sign</u>	<u>Remarks</u>
Helicopter	SAREX 04	Search and Rescue
Ship	SAREX 05	Search and rescue
.....	SAREX....	.....

## 6 COMMUNICATIONS

*State the agreed radio frequencies to be used in the SAREX. Make communication arrangements between the two RCCs as well as between the RCCs and the SRUs. It is recommended that a communication check be conducted between all parties before the SAREX to ensure serviceability of communication equipment. A standby day may be necessary if the communication check is found not satisfactory or unsuccessful.*

6.1 The communications arrangement will be as follows:

a) Between ..... (participating agency or State RCC) and ..... (the other agencies or participating State RCC)

Primary communication - .....KHz or .....MHz or landlines

Secondary communication - .....KHz or .....MHz or landlines

Standby communication - .....KHz or .....MHz or landlines

b) Between .....(participating agencies or State RCC) and SRUs)

Primary communication - ..... KHz or .....MHz

Secondary communication - ..... KHz or .....MHz

Standby communication - .....KHz or .....MHz

6.2 A communication test between ..... (participating agency or State RCC) and ..... (the other participating agencies or State RCC) will be conducted prior to the SAREX. The date for the test is on ..... (date/month/year according to UTC) between ..... (time in UTC) to ..... (time in UTC).

6.3 In the case of unsatisfactory communication test, another test will be conducted on ..... (date/month/year according to UTC) between ..... (time in UTC) to ..... (time in UTC).

6.4 All messages pertaining to the exercise shall be prefixed with the words "SAREX SAREX



SAREX”

## 7 SEARCH OBJECT

*In a Full Scale SAREX, States can consider the deployment of a search object to add realism to the exercise. This will enable participating SRUs to practice visual search from air as well as on from the surface of the sea. If the homing capability of the SRUs is desired, a beacon can be placed on the search object for electronic search. Arrangement can be made for the search object to be deployed at the proposed distress location at the activation time of the SAREX. A search object with some significant marking or markings on it will enable easier visual sighting of search target on land or on water.*

- 7.1 The search object will be provided by ..... (one of the participating agency or State RCC) and will be deployed at ..... (time in UTC) on .....(date of the SAREX according to UTC) at the position in which the distressed aircraft is assumed to have crashed.
- 7.2 Search target is marked with..... (bright colour or with the words “SAREX” or some significant marking).

## 8 ALERTING AND ACTIVATION

*State clearly on the alert and activation processes for the SAREX. Decide on which agency or State would initiate the distress phase and notify the other participating agencies or State or States so that [joint] SAR effort can be carried out. In a joint SAREX, if the distressed location is within the area of responsibility of a particular State, the State concern should carry out the alerting and activation phase. The other participating State or States should be notified and [joint] SAR operations can be carried out.*

- 8.1 Since the crash will occur in ..... (location or name the State FIR) or area of responsibility, ..... (State concern) RCC will notify ..... (participating State) . Both RCCs will coordinate the SAR Operations.

## 9 SEARCH AREA

*Discuss on how to determine the search area or which State should determine the search area. In a joint SAR effort, the two RCCs can determine their own search areas and agree on a common search area.*

- 9.1 The respective Search Mission Coordinators (SMCs) will work out a search area upon receipt of the distress location or crash report.
- 9.2 The two SMCs shall discuss with each other and agree on a common search area.
- 9.3 If there is a great difference between the two search areas, the controlling RCC shall decide on the most probable area and take the necessary action to promulgate the area as a restricted area for SAR operations accordingly.

## 10 DIPLOMATIC CLEARANCE

*In a joint SAREX, make necessary arrangement for the application of Diplomatic Clearance required if State assets may or are required to enter into another State’s territorial airspace or waters. The process for application should be made known or if there is an agreement in place between the two States, then the agreed procedure should be followed. Provide information regarding the SRUs and particulars of the personnel on board. It is recommended that particulars of the SRUs be provided to the State concern prior to the*

*SAREX. This will assist in the Diplomatic Clearance process.*

- 10.1 ..... (State) SMC will request to ..... (State) for diplomatic clearance to allow ..... (State's) SRUs to enter ..... (State's) territorial airspace and waters.
- 10.2 To obtain diplomatic clearance for ..... (State's) SRU, ..... (State) SMC shall provide the following particulars:
- a) Registration of SRU
  - b) Type of aircraft or vessel
  - c) Name of Captain/Pilot in Command
  - d) Names of crew on board (not required for sea asset)
  - e) Area of operation
  - f) Date and time of operation
- 10.3 The details of the ..... (State's) SRU shall be provided to ..... (State) one or two weeks before the exercise. Application for diplomatic clearances through the normal channel via the ..... (agency for the process of the Diplomatic Clearance) is advised in order to accelerate the diplomatic clearance process.

## **11 SEARCH OPERATIONS**

*Note: Ensure the safe conduct of the SAREX especially with the air assets. It is recommended that there should be one controlling RCC providing instructions to search aircraft prior to entering the search area. It is also recommended that an Air Coordinator be deployed to provide instructions to search aircraft during transit to and fro from the search area as well as within the search area if the RCC personnel have no knowledge of Air Traffic Control.*

- 11.1 All SRUs shall report to the controlling RCC or On Scene Coordinator (OSC) prior to entering the Search Area and while conducting search in the Search Area to ensure safety and efficiency in the [joint] SAR effort. All air search assets must observe and adhere to ATC instructions.
- 11.2 Non exercise aircraft shall keep clear of the search area unless clearance has been obtained for these aircraft to transit through.

## **12 RESCUE OPERATIONS**

*Note: Discuss on how the rescue operation is to be executed. Agency or States can decide on a simulated rescue operation by taking photographs of the search object once sighted or if actual personnel are deployed at the distressed location as survivors, actual rescue operations can be conducted. Actual rescue operation will provide training for the rescue of survivors from sea or land to hospitals or landing sites. If possible, recover the search object from the land or sea after the exercise, this will help to avoid the search object becoming an obstacle to others on land or sea. If recovering is not possible, make a general broadcast to warn others of the objects.*

- 12.1 When the search object is sighted, the SRU shall inform the ..... (State) RCC. The ..... (State) RCC will disseminate the information to all other SRUs.
- 12.2 The SRUs to take photographs of the search object to simulate the rescue of the survivors.

12.3 Recovery of the search object will be by ..... (agency that is recovering the search object).

12.4 If the search object is unable to be recovered due to sea state or weather, an Urgent Marine Information Broadcast is provided by ..... (maritime agency responsible for the area).

### 13 EMERGENCY LANDING OF SEARCH AIRCRAFT

*Note: In a joint SAREX, make arrangement for search aircraft to land in airport or airfield of another State in the event of an emergency encountered by the search aircraft where immediate landing is required.*

13.1 ..... (State's) search aircraft will be given permission to land in ..... (name of airport or airfield) if an emergency landing is required.

### 14 TERMINATION OF SAREX

*Note: State the requirements or under what circumstances that will terminate the SAREX. Make arrangement in the event of a real incident that might occur during the SAREX. Consideration can be given to have a code word or words which are understood by all participating agencies and SRUs in the event of a real incident. Once the code word is broadcast to all concern, it will be understood by all participants and the SAREX will be converted into real SAR operations.*

14.1 The SAREX will be terminated under any one of the following circumstances:

- a) When the all the SRUs have returned to base.
- b) When the time for the SAREX has expired and no search object is sighted.
- c) When there is an actual emergency.

14.2 In the case of a real emergency, the exercise will be converted into a real SAR Operations. The code word “NO DUFF NO DUFF” will be broadcast and all agencies to terminate the exercise immediately and prepare and convert it into a real SAR Operations.

### 15 SAREX De-brief

*Note: Conduct of a SAREX de-brief is important as this is where the evaluation process of the exercise is presented by evaluation experts who observed the exercise and observations by people who actually participated in the exercise scenarios. This is the final step to identify weaknesses and development of recommendations for improvement. Agree on a date and venue to conduct a SAREX de-brief to all participants from both States.*

15.1 SAREX Debrief will be held in on ..... (date/month/year according to UTC) at ..... (time in UTC).

15.2 The venue for the SAREX De-brief will be at ..... (name the venue).

### 16 SAREX CONTROLLERS/EVALUTORS/OBSERVERS

*Note: Name the personnel who will be involved in the SAREX as observers, evaluators and controllers. As for evaluators and controllers, they must have expertise in the areas of SAR as they will understand what is to be evaluated and how to control the exercise to maximize the training value.*

16.1 Personnel involved in the SAREX will be as follows:

From SAREX Controllers/Evaluators/Observers  
..... (Agency or State) ..... (name of personnel and their role)

**17 INVITATION TO FOREIGN OBSERVERS**

*Note: Agency or States may consider inviting observers from other agencies or foreign countries or international organizations to attend and observe the SAREX. These personnel can provide valuable feedbacks for improvement to the system. Arrangement to be made as to which State will do the invitation and who should be invited to attend.*

17.1 Invitation to foreign observers to observe the SAREX at ..... (state the venue for the observation of the SAREX) will be provided ..... (State that is providing the invitation) on behalf of ..... (the other State).

17.2 The following countries and organizations will be invited to attend:

- a) ..... (name of country or organization)
- b) ..... (name of country or organization)
- c) ..... (name of country or organization)
- d) ..... (name of country or organization)

**18 PRESS COVERAGE**

*Note: If there provision for any press coverage for the SAREX, made the arrangement for drafting of press release.*

18.1 If there is a requirement for a [joint] press release on the SAREX to be issued, .....(Agency or State that will produce the draft) will draft the press release and forward to ..... (the other participating agencies or State) for concurrence.

**19 SAREX REPORT**

*Note: SAREX Report is important as it serve as a permanent record of the exercise. Each element of the exercise is recorded and lesson learnt during the exercise is captured. Make arrangement on who should produce the SAREX Report for dissemination to all participating agencies as well as others who may be interested.*

19.1 ..... (Agency or State) will produce the SAREX Report with assistance from ..... (the other participating agencies or State). Photographs will be made available for the SAREX Report.

19.2 A copy of the report will be sent to each of the following countries and International Organizations.

- a) ..... (agency or country or International Organization)
- b) ..... (agency or country or International Organization)

**20 VENUE FOR THE NEXT SAREX**

*Note: It will be good to plan for an annual [joint] SAREX with relevant agencies or neighbouring State or States. State the tentative date and venue if possible for the next SAREX coordination meeting and SAREX.*

20.1 The next SAREX Coordination Meeting will be held at ..... (venue) on .....

(date/month/year).

20.2 The next Full Scale SAREX will be held on ..... (date/month/year).

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